

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: GB920020024US1

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17858 U.S. PRO  
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Commissioner For Patents  
Mail Stop: NEW PATENT APPLICATION  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL FORM

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Paul Ashmore  
John Matthew Fairhurst  
Michael Huw Francis  
Robert Bruce Nicholson  
Simon Walsh  
Barry John Wood

For (title): Maintaining Data Access During Failure Of A Controller.

Enclosed are the following:

- Application Cover Page
- 18 pages of Specification that consists of, 14 pages of Description, 3 pages of Claims and 1 page of Abstract;
- 5 sheet of drawings;
- Inventor(s) Declaration;
- Preliminary Amendment;
- Priority is hereby claimed from:  
UK Patent Application Number 0214669.4 filed on 26 June 2002;  
Patent Application Number filed on ;
- Certified Copy of priority document(s);
- English language translation of priority document;
- Application Data Sheet;
- Information Disclosure Statement and/or Form PTO 1449 and cited art;
- Assignment and Recordation Form Cover Sheet;

Return Receipt Postcard;  
 Other:

Assignment Data

An assignment of the invention to International Business Machines Corporation  
 is attached.  
 will follow.

Small Entity Status

Applicant claims small entity status.

Fees

Design Patent Application \$ 330.00

Utility Patent Application  
Number  
Filed      Number  
Extra      Rate      Basic Fee  
\$ 750.00

Total  
Claims      31 -20 =      11      x      \$ 18.00      \$ 198.00

Independent  
Claims0      5 - 3 = 2      x      \$ 84.00      \$ 168.00

Assignment Recordal      1      x      \$ 40.00      \$

**TOTAL FEES      \$ 1316.00**

A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is enclosed.  
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 The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required for this communication or during the entire pendency of this patent application, or credit any overpayment, to Deposit Account No. **09-0466**

Correspondence

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Date

6/26/2003

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